

DOCKET NO.: CC-3397



#6

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**In Re Application of:**  
Brifcani et al.

**Serial No.:** 10/024,862

**Group Art Unit:** 3727

**Filing Date:** December 18, 2001

**Examiner:** Not yet assigned

**For:** CAN END AND METHOD FOR FIXING THE SAME TO A CAN BODY

**EXPRESS MAIL LABEL NO:** EL568092851US

**DATE OF DEPOSIT:** April 30, 2002

Box Missing Parts  
Assistant Commissioner for Patents  
Washington DC 20231

Sir:

**PRELIMINARY AMENDMENT TRANSMITTAL LETTER**

Transmitted herewith for filing in the above-identified patent application is:

- ☒ A Supplemental Preliminary Amendment.
- ☐ An Amendment Responsive to the Office Action Dated \_\_\_\_\_.
- ☐ An Amendment Supplemental to the Paper filed \_\_\_\_\_.
- ☐ Other: \_\_\_\_\_.
  
- ☐ Applicant(s) has previously claimed small entity status under 37 CFR §1.27.
  
- ☐ Applicant(s) by its/their undersigned attorney, claims small entity status under 37 CFR §1.27 as:
  - ☐ an Independent Inventor
  - ☐ a Small Business Concern

☐ a Nonprofit Organization

☐ This application is no longer entitled to small entity status. It is requested that this be noted in the files of the Patent and Trademark Office.

☐ Substitute Pages \_\_\_\_\_ of the Specification are enclosed.

☐ An Abstract is enclosed.

☐ \_\_\_\_\_ Sheets of Proposed Corrected Drawings are enclosed.

☐ A Certified Copy of each of the following applications: \_\_\_\_\_  
\_\_\_\_\_ is enclosed.

☐ An Associate Power of Attorney is enclosed.

☐ Information Disclosure Statement.

☐ Attached Form 1449.

☐ A copy of each reference as listed on the attached Form PTO-1449 is enclosed herewith.

☐ Appended Material as follows: \_\_\_\_\_ .

☐ Other Material as follows: \_\_\_\_\_ .

## FEE CALCULATION

☐ No Additional Fee is Due.

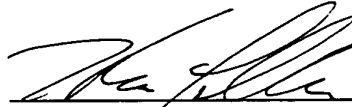
				SMALL ENTITY		NOT SMALL ENTITY	
	REMAINING AFTER AMENDMENT	HIGHEST PAID FOR	EXTRA	RATE	FEE	RATE	FEE
TOTAL CLAIMS	41	20 (20 MINIMUM)	21	\$9 EACH	\$0	\$18 EACH	\$ 378
INDEP. CLAIMS	5	1 (3 MINIMUM)	1	\$42 EACH	\$0	\$84 EACH	\$84
FIRST PRESENTATION OF MULTIPLE DEPENDENT				\$140	\$0	\$280	\$0
<input type="checkbox"/> ONE MONTH EXTENSION OF TIME				\$55	\$0	\$110	\$0
<input type="checkbox"/> TWO MONTH EXTENSION OF TIME				\$200	\$0	\$400	\$0
<input type="checkbox"/> THREE MONTH EXTENSION OF TIME				\$460	\$0	\$920	\$0
<input type="checkbox"/> FOUR MONTH EXTENSION OF TIME				\$720	\$0	\$1440	\$0
<input type="checkbox"/> FIVE MONTH EXTENSION OF TIME				\$980	\$0	\$1960	\$0
<input type="checkbox"/> LESS ANY EXTENSION FEE ALREADY PAID				minus	(\$ 0 )	minus	(\$ 0 )
<input type="checkbox"/> TERMINAL DISCLAIMER				\$55	\$0	\$110	\$0
<input type="checkbox"/> OTHER FEE OR SURCHARGE AS FOLLOWS:					0		0
TOTAL FEE DUE					\$0.00		\$462.00

- ☒ A Check is Enclosed in the Foregoing Amount Due.
- ☐ Petition is hereby made under 37 C.F.R. 1.136(a) to extend the time for response to the Office Action of @@ to and through @@ comprising an extension of the shortened statutory period of @@ month(s).
- ☒ The Commissioner is hereby requested to grant an extension of time for the appropriate length of time, should one be necessary, in connection with this filing or any future filing submitted to the U.S. Patent and Trademark Office in the above-identified application during the pendency of this application. The Commissioner is further authorized to charge any fees related to any such extension of time to deposit account 23-3050. This sheet is provided in duplicate.

- ☒ The Commissioner is authorized to charge payment of the following fees and to refund any overpayment associated with this communication or during the pendency of this application to deposit account 23-3050. This sheet is provided in duplicate.
- ☐ The Foregoing Amount Due for Filing this Paper.
- ☒ Any additional filing fees required, including fees for the presentation of extra claims under 37 C.F.R. 1.16.
- ☒ Any additional patent application processing fees under 37 C.F.R. 1.17 or 1.20(d).

**SHOULD ANY DEFICIENCIES APPEAR** with respect to this application, including deficiencies in payment of fees, missing parts of the application or otherwise, the United States Patent and Trademark Office is respectfully requested to promptly notify the undersigned.

Date: April 30, 2002

  
\_\_\_\_\_  
Harold H. Fullmer  
Registration No. 42,560

Woodcock Washburn LLP  
One Liberty Place - 46th Floor  
Philadelphia PA 19103  
Telephone: (215) 568-3100  
Facsimile: (215) 568-3439

DOCKET NO.: CC-3397



Receipt #4  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: Brifcani et al.

Group Art Unit: 3727

Serial No.: 10/024,862

Filing Date: December 18, 2001

Confirmation No.: 1866

For: Can End and Method for Fixing the Same to a Can Body

EXPRESS MAIL LABEL NO: EL568092851US

DATE OF DEPOSIT: April 30, 2002

EL568092851US

**BOX MISSING PARTS**

Assistant Commissioner for Patents

Washington DC 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION AND  
REQUEST FOR EXTENSION OF TIME**

In response to the "Notice to File Missing Parts of Application--Filing Date Granted" dated January 31, 2002, a response to which is due March 31, 2002 (extended herewith through April 30, 2002 with enclosed request for one month extension of time), enclosed herewith for filing is:

**Declarations and Powers of Attorney**

- ☐ A Combined Declaration and Power of Attorney, executed by the inventor(s). An unexecuted copy of this document, attached to the above-identified specification, was filed by Express Mail No. @@ on @@.
- ☐ A Combined Declaration and Power of Attorney, executed by the inventor(s) and identifying fewer/more inventors than those identified in the unexecuted declaration filed with to the above-identified application specification on @@, via by Express Mail No. @@@. Such deletion/addition of inventors is specifically provided for in 37 CFR Sec. 1.48.
- ☒ A copy of the original Declaration and Power of Attorney, executed by the inventors as originally filed in parent application serial no. 08/945,698.
- ☒ A copy of the combined "Power of Attorney with Revocation and Statement Under 3.73(b)" filed and accepted by the Patent Office in prior application

09/650,664 on June 15, 2001.

- ☐ A Combined Power of Attorney with Revocation and Statement Under 3.73(b)
- ☒ An associate power of attorney executed by Harold H. Fullmer who was listed on the Combined Power of Attorney with Revocation and Statement Under 3.73(b) filed in prior application 09/650,664.

**Drawings**

- ☒ 4 Sheets of Substitute drawings comprising Figures 1, 2, 3, 4, 5, 6, 7, 8 and 9 in compliance with 37 C.F.R. 1.84. **These replacement pages contain no new matter.**

**Sequence Listing** (Note - All items listed below are required if Sequence Listing is also being filed with Missing Parts)

- ☐ Paper copy of Sequence Listing
- ☐ Diskette containing Sequence Listing in computer readable form
- ☐ Amendment directing entry of sequence listing in specification
- ☐ Statement to Support Filing of Sequence listing

**Claim for Small Entity Status**

- ☐ Applicant(s) has previously claimed small entity status under 37 CFR §1.27.
- ☐ Applicant(s) by its/their undersigned attorney, claims small entity status under 37 CFR §1.27 as:
  - ☐ an Independent Inventor
  - ☐ a Small Business Concern
  - ☐ a Nonprofit Organization

**Miscellaneous Items**

- ☒ A copy of the Notice to File Missing Parts.
- ☒ Request for Corrected Filing Receipt.

- ☐ Request for Refund Due to Small Entity Status
- ☐ Request for Refund Due to Fee Calculation Error
- ☒ A substitute specification which contains no new matter is submitted in compliance with 37 C.F.R. 1.52.
- ☒ Other: An Abstract (as page 16 of substitute specification) and a Supplemental Preliminary Amendment.

**Fee Calculation and Extension of Time**

- ☒ Request is hereby made under 37 CFR 1.136(a) to extend the time for response to the Notice to File Missing Parts of Application due March 31, 2002 to and through April 30, 2002, comprising an extension of the shortened period of one months:

	SMALL ENTITY		NOT SMALL ENTITY	
	RATE	FEE	RATE	FEE
MISSING PARTS SURCHARGE	\$65	\$0	\$130	\$130.00
<input checked="" type="checkbox"/> ONE MONTH EXTENSION OF TIME	\$55	\$0	\$110	\$110.00
<input type="checkbox"/> TWO MONTH EXTENSION OF TIME	\$200	\$0	\$400	\$0
<input type="checkbox"/> THREE MONTH EXTENSION OF TIME	\$460	\$0	\$920	\$0
<input type="checkbox"/> FOUR MONTH EXTENSION OF TIME	\$720	\$0	\$1440	\$0
<input type="checkbox"/> FIVE MONTH EXTENSION OF TIME	\$980	\$0	\$1960	\$0
TOTAL FEE DUE		0		\$240.00

Applicant(s) has/have not been notified that the requested extension will not be permitted. The present application is not involved in an interference declared pursuant to 37 CFR 1.207.

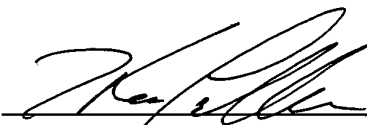
- ☒ A check in the total amount due of \$240.00 is attached to cover the surcharge and any requested extension of time. Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050.
- ☐ Please charge the surcharge to my Deposit Account No. 23-3050 in the amount of \$@@.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated

with this communication or credit any overpayment to Deposit Account No. 23-3050. This sheet is attached in duplicate.

- ☒ Any additional filing fees required under 37 CFR 1.16 including fees for presentation of extra claims.
- ☒ Any additional patent application processing fees under 37 CFR 1.17 and under 37 CFR 1.20(d).
- ☒ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 23-3050. This sheet is attached in duplicate.
  - ☒ Any patent application processing fees under 37 CFR 1.17 and under 37 CFR 1.20(d).
  - ☐ The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).
  - ☒ Any filing fees under 37 CFR 1.16 including fees for presentation of extra claims.

This sheet is attached in duplicate.

Date: April 30, 2002

  
\_\_\_\_\_  
Harold H. Fullmer  
Registration No. 42,560

Woodcock Washburn LLP  
One Liberty Place - 46th Floor  
Philadelphia PA 19103  
Telephone: (215) 568-3100  
Facsimile: (215) 568-3439





## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/024,862	12/18/2001	Muoyed Mandooh Brifcani	CC-3397

Woodcock Washburn LLP  
46th Floor  
One Liberty Place  
Philadelphia, PA 19103



CONFIRMATION NO. 1866

## FORMALITIES LETTER



\*OC000000007396000\*

Date Mailed: 01/31/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:
  - The specification cannot be scanned or properly stored. Page(s)
    - Papers must be legibly written either by a typewriter or mechanical printer in permanent ink or its equivalent in portrait orientation on flexible, strong, smooth, non-shiny, durable, and white paper. Application papers must be presented in a form having sufficient clarity and contrast between the paper and the writing thereon to permit the direct reproduction of readily legible copies in any number by use of photographic, electrostatic, photo-offset, and microfilming processes and electronic reproduction by use of digital imaging and optical character recognition. Pages 1-19 are not in compliance with 37 CFR 1.52(a). *The drawings filed are unacceptable because:*  
*Replacement page(s), together with a statement that the*

05/06/2002 SDENB081 000000090 10024862  
04.00 OP  
378.00 OP  
130.00 OP  
01 FC:102  
02 FC:103  
03 FC:105

2006-10-23 09:42:07

*replacement page(s) contain no new matter, are required.*

- An abstract was not provided for this application. An abstract of the technical disclosure is required under 37 CFR 1.72(b).

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*A copy of this notice **MUST** be returned with the reply.*

*Robert Barber*

Customer Service Center

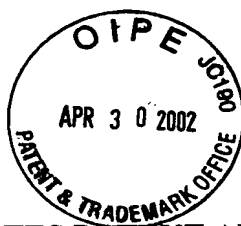
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

2006-10-20 10:20:00

7  
DOCKET NO.: CC-3397

#5  
PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**In Re Application of:** Mouayed Mamdooh  
Brifcani, Peter James Hinton and Mark  
Christopher Kysh

**Serial No.:** 10/024,862

**Group Art Unit:** 3727

**Filing Date:** December 18, 2001

**Examiner:** Unknown

**For:** Can End and Method for Fixing the Same to a Can Body

**EXPRESS MAIL LABEL NO:** EL568092851US

**DATE OF DEPOSIT:** April 30, 2002

Office of Initial Patent Examination  
Customer Service Center  
Assistant Commissioner for Patents  
Washington DC 20231  
Attention:

**REQUEST FOR CORRECTED FILING RECEIPT**

1. Attached is a copy of the Official Filing Receipt received from the PTO in the above application for which issuance of a Corrected Filing Receipt is respectfully requested. The requested changes are noted thereon, as well as listed below.
2. There is an error with respect to the following data which is:
  - ☒ incorrectly entered; and/or
  - ☐ omitted.



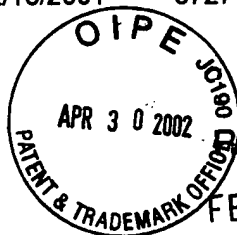
## UNITED STATES PATENT AND TRADEMARK OFFICE

DmH/KJM/HHF

Rm

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/024,862	12/18/2001	3727	740	CC-3397	4	10	2

 Woodcock Washburn LLP  
 46th Floor  
 One Liberty Place  
 Philadelphia, PA 19103


RECEIVED

FEB 14 2002

 Woodcock Washburn Kurtz  
 Mackiewicz & Norris LLP

CONFIRMATION NO. 1866

## FILING RECEIPT



\*OC000000007395999\*

Date Mailed: 01/31/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) Mouayed Mamdooh

 Mouayed Mamdooh Brifceni, Oxfordshire, UNITED KINGDOM;  
 Peter James Hinton, Swindon, UNITED KINGDOM;  
 Mark Christopher Kysh, Wantage, UNITED KINGDOM;

## Domestic Priority data as claimed by applicant

 THIS APPLICATION IS A CON OF 09/650,664 08/30/2000  
 WHICH IS A CON OF 09/552,668 04/19/2000  
 WHICH IS A CON OF 08/945,698 11/21/1997 PAT 6,065,634  
 WHICH IS A 371 OF PCT/GB96/00709 03/25/1996

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 FEB 14 2002  
 DOCKET DEPT.  
 WWWKMN

## Foreign Applications

UNITED KINGDOM 9510515.1 05/24/1995

If Required, Foreign Filing License Granted 01/30/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

## Title

Can end and method for fixing the same to a can body

**Preliminary Class**

220

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



Creation date: 02-25-2004  
Indexing Officer: NIFILL - NICOLE IFILL  
Team: OIPEBackFileIndexing  
Dossier: 10024862

Legal Date: 08-08-2002

No.	Doccode	Number of pages
1	IMIS	1

Total number of pages: 1

Remarks:

Order of re-scan issued on .....